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07 AUG 2007
JC05 Rec'd PCT/PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PHASE OF
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Hon. Commissioner of Patents
Washington, D.C. 20231



00909

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: P 282726 /EL00023CDC
M# /Client Ref.

From: Pillsbury Winthrop LLP, IP Group:

Date: August 7, 2001

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

- | | | | | | | | | | | | | | | |
|--|--|------|-----|------|-----|-------|------|--|----|-----|------|-----|-------|------|
| 1. International Application
<u>PCT/JP01/00081</u>
<u>1</u> country code | 2. International Filing Date
<table border="0" style="width: 100%;"><tr><td style="text-align: center;">11</td><td style="text-align: center;">JAN</td><td style="text-align: center;">2001</td></tr><tr><td style="text-align: center;">Day</td><td style="text-align: center;">MONTH</td><td style="text-align: center;">Year</td></tr></table> | 11 | JAN | 2001 | Day | MONTH | Year | 3. Earliest Priority Date Claimed
<table border="0" style="width: 100%;"><tr><td style="text-align: center;">12</td><td style="text-align: center;">JAN</td><td style="text-align: center;">2000</td></tr><tr><td style="text-align: center;">Day</td><td style="text-align: center;">MONTH</td><td style="text-align: center;">Year</td></tr></table>
(use item 2 if no earlier priority) | 12 | JAN | 2000 | Day | MONTH | Year |
| 11 | JAN | 2001 | | | | | | | | | | | | |
| Day | MONTH | Year | | | | | | | | | | | | |
| 12 | JAN | 2000 | | | | | | | | | | | | |
| Day | MONTH | Year | | | | | | | | | | | | |
4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

(a) ☒ 20 months from above item 3 date (b) ☐ 30 months from above item 3 date,

(c) Therefore, the due date (unextendable) is September 12, 2001

Title of Invention VACUUM PROCESSING APPARATUS

Inventor(s) AMANO, Hideaki

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

☒ Please immediately start national examination procedures (35 U.S.C. 371 (f)).

☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:

a. ☐ Request;

b. ☐ Abstract;

c. _____ pgs. Spec. and Claims;

d. _____ sheet(s) Drawing which are ☐ informal ☐ formal of size ☐ A4 ☐ 11"

9. ☒ A copy of the International Application has been transmitted by the International Bureau.

10. A translation of the International Application into English (35 U.S.C. 371(c)(2))

a. ☒ is transmitted herewith including: (1) ☒ Request; (2) ☒ Abstract;

(3) 18 pgs. Spec. and Claims;

(4) 6 sheet(s) Drawing which are:

☐ informal ☒ formal of size ☒ A4 ☐ 11"

b. ☐ is not required, as the application was filed in English.

c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.

d. ☐ Translation verification attached (not required now).

RE: USA National Phase Filing of PCT/JP01/00081

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24. Attached:

25. Per Item 17.c2, **cancel original** pages # _____, claims # _____, Drawing Sheets # _____**26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**Based on amended claim(s) per above item(s) ☐ 12, ☐ 14, ☐ 17, ☐ 25 (hiite)

Total Effective Claims	minus 20 =	x \$18/\$9 =	\$0	966/967
Independent Claims	minus 3 =	x \$80/\$40 =	\$0	964/965
If any proper (ignore improper) Multiple Dependent claim is present,		add \$270/\$135 =	+0	968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ **BASIC FEE REQUIRED, NOW** →→→→A. If country code letters in item 1 are **not** "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA" ↓

See item 16 re: ↓

1. Search Report was <u>not prepared</u> by EPO or JPO -----	add \$1000/\$500	960/961
2. Search Report was prepared by EPO or JPO -----	add \$860/\$430 +860	970/971

SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA" ↓

(X) → <input type="checkbox"/> B. If <u>USPTO</u> did not issue <u>both</u> International Search Report (ISR) <u>and</u> (if box 4(b) above is X'd) the International Examination Report (IPER), -----	add \$1000/\$500 +0	960/961
(g) → <input type="checkbox"/> C. If <u>USPTO</u> issued ISR but not IPER (or box 4(a) above is X'd), -----	add \$710/\$355 +0	958/959
(d) → <input type="checkbox"/> D. If <u>USPTO</u> issued IPER but IPER Sec. V boxes <u>not all</u> 3 YES, -----	add \$690/\$345 +0	956/957
(f) → <input type="checkbox"/> E. If international preliminary examination fee was paid to <u>USPTO</u> and Rules 492(a)(4) and 496(b) <u>satisfied</u> (IPER Sec. V <u>all</u> 3 boxes YES for <u>all</u> claims), -----	add \$100/\$50 +0	962/963
27 →	SUBTOTAL =	\$860

28. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40 +0 (581)

29. Attached is a check to cover the ----- **TOTAL FEES** **\$860**

Our Deposit Account No. 03-3975

Our Order No. 40258 | 282726

C#

M#



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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT **does not authorize** charge of the **issue fee** until/unless an issue fee transmittal form is filed
Pillsbury Winthrop LLP
Intellectual Property Group
By Atty: Dale S. Lazar

Reg. No. 28872

Sig:

Fax: (703) 905-2500

Atty/Sec: DSL/sdm

Tel: (703) 905-2126

NOTE: File in duplicate with 2 postcard receipts (PAT-103) & attachments.